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Instrument: EXHIBIT(S)

Control #: 202402161647
Index #: E2024003035

Date: 02/16/2024

Time: 6:19:56 PM

Return To:
JENNIFER MORGAN LEVY
24 N. Main Street
Suite 2
Fairport, NY 14450

Dias, Liyanagamage Ranganath Prabashwara

University of Rochester

Total Fees Paid: $0.00

Employee:

State of New York

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JAMIE ROMEO

MONROE COUNTY CLERK

EXHIBIT

From: **Thornton, Leslie** Leslie.Thornton@ropesgray.com
Subject: RE: [EXT] Investigation Committee Meeting Tomorrow (Privileged and Confidential)
Date: June 9, 2023 at 12:18 AM
To: Dias, Liyanagamage rdias@rochester.edu
Cc: Dewhurst, Stephen Stephen_Dewhurst@URMC.Rochester.edu, Payne, Donna Donna.Payne@Rochester.edu

LT

Thank you for confirming receipt of the invite, Ranga.

UR's Policy on Research Misconduct permits you, as respondent, to be accompanied by a UR faculty/staff member, but states that legal counsel may not accompany you in any appearance before the committee (*see* Investigation Section 3). I believe Steve has provided you with a copy of the Policy, but just in case not at the ready, it can be found here.

Best,
Leslie

Leslie A. Thornton, Ph.D., J.D.
ROPES & GRAY LLP
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Leslie.Thornton@ropesgray.com
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From: Dias, Liyanagamage <rdias@rochester.edu>
Sent: Thursday, June 08, 2023 8:09 PM
To: Thornton, Leslie <Leslie.Thornton@ropesgray.com>
Cc: Dias, Liyanagamage <rdias@rochester.edu>; Dewhurst, Stephen <Stephen_Dewhurst@URMC.Rochester.edu>; Payne, Donna <Donna.Payne@Rochester.edu>
Subject: Re: [EXT] Investigation Committee Meeting Tomorrow (Privileged and Confidential)

Dear Leslie,

I appreciate your email providing me with the necessary information.

I am writing to confirm that I have received the Zoom link for the upcoming meeting scheduled for tomorrow and have duly accepted the invitation.I fully comprehend the significance and importance of this meeting, and I approach this investigation with utmost sincerity and a strong commitment to complete cooperation.

In a separate matter, I would like to inquire whether it would be advisable for me to have external counsel present on my behalf during the meeting.

Thank you for your assistance.

Sincerely,
Ranga

On Jun 8, 2023, at 9:09 PM, Thornton, Leslie <Leslie.Thornton@ropesgray.com> wrote:

Dear Ranga:

Thank you for agreeing to meet with the investigation committee tomorrow (6/9) 11:00 am–1:00 pm ET.

Steve and I connected today and wanted to pass along a few notes in advance of tomorrow's call:

- Please confirm that you have received the Zoom invite (sent by me). Want to ensure that the invite came through successfully.

- Each of the investigation committee members understands that the investigation process, including tomorrow's discussion, is confidential, and each member has expressly agreed to the same.
- We also ask that you please keep confidential the matters discussed during tomorrow's meeting in order to preserve the integrity of the investigation process.
- The meeting will be recorded using Zoom's recording functionality for purposes of later transcription, which is a required part of the process. Once created, you will receive a copy of the transcript for your review and comment.
- As Steve mentioned, I will be joining tomorrow's call as external counsel to Rochester, as I am generally assisting the committee and Steve in this process. Please note that neither I (as external counsel to Rochester) nor the Rochester General Counsel's office are acting as your personal attorney in this matter.

Please let us know if you have any questions. Look forward to connecting tomorrow.

All best,
Leslie

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From: **Dias, Liyanagamage** rdias@rochester.edu
Subject: Re: [EXT] Investigation Committee Meeting Tomorrow (Privileged and Confidential)
Date: June 8, 2023 at 11:08 PM
To: Thornton, Leslie Leslie.Thornton@ropesgray.com
Cc: Dias, Liyanagamage rdias@rochester.edu, Dewhurst, Stephen Stephen_Dewhurst@URMC.Rochester.edu, Payne, Donna Donna.Payne@Rochester.edu

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